Department of Health

health

Occupational violence incident response

Managing incidents in public health services





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Occupational violence is defined as:

Any incident where an employee is abused, threatened or assaulted in circumstances arising out of, or in the course of, their employment. (Adapted from WorkSafe Victoria Handbook, 2008).

Within this definition of occupational violence:

- 'threat' means a statement or behaviour that causes a person to believe that they are in danger of being physically attacked, and may involve an actual or implied threat to safety, health or wellbeing.
- 'physical attack' means a direct or indirect application of force by a person to the body of, or clothing or equipment worn by, another person, where that application creates a risk to health and safety.

Background



This resource kit has been developed to promote a statewide, consistent and integrated approach to reporting and responding to occupational violence (OV) in Victorian public healthcare settings. It provides healthcare workers (HCWs) and health managers with a best-practice framework for implementing such an approach and offers guidance and support materials for effectively **reporting** OV incidents both internally and to the police. Following these procedures will help ensure such incidents are reported and actioned appropriately.

The following principles provide a framework for improving the way **health services** and **police** report and respond to assault against HCWs:

- All forms of OV require action under occupational health and safety (OHS) legislation and may need to be reported to the police.
- Best practice response to OV incidents requires cooperative and coordinated action by health service managers, the police and the HCW.
- Early recording and reporting of an OV incident are more likely to result in successful interventions.
- Ongoing liaison between a health service and the local police will enhance relations and contribute to more positive responses and outcomes following an OV incident.
- Strong local health service-police partnerships can ensure 'key contacts' are established in each organisation to assist in managing OV incidents, particularly where there are barriers to a complaint being progressed or reported.

Changing the culture in healthcare settings

Despite the fact that all forms of OV require action under OHS legislation and that assaults in the workplace should be reported to police, the literature highlights that, in the health sector, OV is highly underreported and a culture exists that accepts violence as 'part of the job'.

There are a number of factors and perceptions that lead to HCWs not reporting assaults. These include:

- not wanting to escalate the matter
- adverse publicity
- fear of giving the impression of failure
- becoming desensitised to working alongside 'difficult' patients or colleagues
- other staff not sharing the perception that there is a threat to safety
- the perception that nothing will be done if incidents are reported to management and/or police
- fear that the person reporting will be seen as the cause of the incident
- others (including management) thinking they don't have the skills to handle difficult situations
- fear of punishment on the part of the person who wants to make the report, or
- that debriefing and back-up support for workers may not be available.

Respond • Report • Resolve Occupational violence needs action

Reporting occupational violence: roles and responsibilities



Healthcare workers

HCWs need to take all incidents of OV seriously. HCWs should report all incidents to their manager and ensure the incident is accurately recorded. Whether or not they choose to report the incident to the police immediately, at a later stage or not at all, the HCW should:

- report the incident to their manager
- attempt to ensure any physical evidence is preserved
- complete an incident report that includes brief notes about 'what happened' and, if possible, photographs of injuries and a signed Authority to release medical information form
- if intending to report the incident to police, do so as soon as possible after the incident has occurred
- attend the local police station if required
- attend debriefing and counselling as required.

Health service providers/managers

Health service managers/providers are expected to treat all reports of OV as genuine and serious. They should encourage a culture that actively supports an open reporting process and should strongly discourage a culture that accepts violence. When notified of an OV incident, a manager should ensure:

- immediate and ongoing support (emotional, financial and legal) for those affected
- the incident is reported internally and an incident report is completed, as per local protocols and procedures
- the incident is reported to the health and safety representative (HSR)
- if required, the incident is reported to WorkSafe Victoria
- brief notes about the incident are made by both the victim and any witnesses
- any physical evidence is preserved
- that staff are supported in reporting incidents of assault to police, laying charges and pursuing prosecutions
- debriefing and counselling is provided as required

- incidents are analysed using a no-blame approach and utilising the expertise of specialist staff to learn from the incident and to develop processes to mitigate future risks
- records of incidents are maintained, trends are analysed and identified, and that appropriate interventions and feedback to staff are provided.

Police

Victoria Police acknowledges that a strong and effective criminal justice response will have a positive impact on the health and wellbeing of victims and can have a deterrent effect on further crime. In relation to occupational violence against a healthcare worker, as with other forms of criminal acts, best practice requires the police to respond and take **appropriate action** when an incident is reported to them.

Police are expected to treat all reports of OV as genuine and serious. When notified of an OV incident, police should:

- treat OV against HCWs as crime
- record the crime on the Victoria Police Criminal Database
- investigate the alleged reported crime
- advise the person reporting the incident of the need to preserve any physical evidence
- identify and interview the alleged offenders where appropriate
- provide the aggrieved HCW with information on victims of crime support agencies and their rights as a victim of crime
- provide victims with regular updates and information on the investigation and the criminal justice process
- pursue criminal action where there is sufficient evidence to do so
- work with management of healthcare services with a view to identifying recidivist offenders, monitoring trends and identifying work environments at risk.

Occupational violence incident response procedure



The following actions are recommended when an occupational violence incident ('threat' or 'physical attack') occurs. These actions are required in the case of a **minor assault** resulting in **injury** such as mild bruising, scratches or lacerations.

Note: In the case of a serious threat or an assault resulting in **serious injury** (such as a broken jaw, arm or other body part or severe lacerations), **ring 000 for immediate police attendance**. Everything possible needs to then be done to isolate, secure and maintain the crime scene. Once this has occurred, the investigation is under the direction of the police.

If the HCW requires urgent medical attention, appropriate assessment or treatment, an ambulance or medical response needs to be instigated.

Action required	Explanatory notes
HCW and manager	
HCW to report OV incident to line manager. Line manager to report to HSR and WorkSafe Victoria, if required.	If the HCW is not in a state to report the incident to their manager, a colleague/witness/friend/family member should do so.
	The line manager should inform the HSR of the incident, and WorkSafe Victoria should be informed if required, as per the <i>OHS Act 2004</i> . Note: the HCW may be eligible to claim worker's compensation.
HCW and manager	
HCW/line manager/other to complete OV incident report	The incident needs to be recorded and an OV incident report completed as soon as possible after the incident. The incident report should contain as much detail as possible, including <i>brief</i> notes by the HCW and any witnesses about what happened. These notes (contemporaneous notes) are vital pieces of information if the HCW decides (whether immediately after the incident or at a later stage) to make an official report to the police.
Manager/HSR/other	
Photos taken of the scene and any sustained injuries	Photos can contribute significantly to evidence of an assault and hence, are also vital pieces of information if the HCW decides to report the incident to the police.

(HCW = healthcare worker/victim; OV = occupational violence; HSR = health and safety representative)

Action required	Explanatory notes		
Manager			
Manager to organise post-incident debriefing and counselling for HCW (and for employees otherwise affected by the incident, if required)	Debriefing and/or counselling may be required immediately after the incident. Further debriefing, counselling and ongoing support may be required at a later stage.		
	Note : Ongoing support may include physical, emotional, financial and/or legal support.		
Police			
If the police are called to attend the scene of the incident (at the health service), they will need to attend as soon as	Early reporting of an incident contributes positively to a smooth investigation.		
possible after being notified.	An immediate police response is the most ideal. It should be noted however that police attendance is based on severity of incident, availability of resources and competing priorities.		
нсм			
If a HCW makes the decision to report the incident to the police, with the possibility of laying charges, they need to advise the police that they wish to file a report. Once this is done, the police will proceed with filing a report.	Reporting an assault is official at the point when the HCW states to the police that they wish to file a report. The police will require details of the incident including personal statements, any photos that were taken of the scene and/or any sustained injuries and a description of the offender(s) if their name and address is unknown. A completed <i>Authority to release medical information</i> form (see below) will be necessary if injuries were sustained.		
HCW and police			
HCW to complete and sign an <i>Authority to release medical information</i> form with the investigating police officer.	Authority to release medical information form. This form gives authority to the police to obtain relevant medical information relating to the OV incident if the employee required medical attention. Delay in completing this form may disadvantage the investigation and the prosecution of the offence may be unable to proceed. The original of the completed form needs to be given to the relevant medical practitioner/hospital and a copy provided to the investigating police officer.		
нсм			
If the incident is not reported to the police initially but the HCW makes the decision at a later stage that they wish to file a report, they will need to attend a police station to do so.	Because early reporting of an incident contributes positively to a smooth investigation, the HCW should report the incident as soon possible after the date of the incident.		
	Note : It is best to file the report at the police station nearest to where the incident occurred.		
Manager			
The manager should ensure that the HCW is accompanied by a support person (manager, colleague, other) when they attend a police station to file a report.	This is to ensure the HCW is given emotional support and it demonstrates that management is taking the incident seriously		

HCW Management Police

Action required	Explanatory notes		
HCW			
When attending the police station to file the report, the HCW needs to take as much information (and evidence) as possible regarding the incident.	Ideally, <i>brief</i> notes made by the HCW and witness/es written as soon as possible after the actual incident ('contemporaneous notes') are available. (Note : if the matter is to proceed to court, the police will require formal statements from both the HCW and witness/es). Any photos taken of the scene and/or sustained injuries and a description of the offender (if name and address unknown) should all be taken to the police station along with the brief notes. All this information will assist the police with their investigation and will increase the likelihood that the police will respond positively to the HCW's wish to file a report at this later stage. An <i>Authority to release medical information</i> form needs to be completed and signed.		
Police			
Once a police report has been filed, the police will provide the HCW with information on victims of crime support agencies and their rights as a victim of crime.	The information provided by the police may include details of the police investigator looking after the case and important information about the Victims of Crime Helpline, as well as assistance regarding the process for pursuing charges and the judicial processes.		
	These actions are required as per the <i>Victims' charter</i> , which is contained in legislation called the <i>Victim's Charter Act 2006</i> .		
 Police The police will: keep the HCW informed of the progress of their case tell the HCW if they have charged someone with the crime and what will happen in court 	These and other actions are required as per the <i>Victims' charter</i> .		
- tell the HCW how the court works			
Manager Whether or not the HCW's case goes to court, management should ensure the provision of any emotional, financial and/or legal support required.	The amount of support (emotional, financial and legal) will vary from case to case. HCWs need to know that management and the police are aware of violent incidents and support efforts to prevent and mitigate violence.		
Manager			
Management needs to ensure a support person accompanies the HCW to any court appearances.	A strong commitment by management is critical to reducing occupational violence and eliminating the factors that contribute to non-reporting or underreporting of OV incidents. Ensuring support of an HCW through the judicial processes is a vital part of this commitment.		

(HCW = healthcare worker/victim; OV = occupational violence; HSR = health and safety representative)

Occupational violence incident occurs



Action forms



The following forms can be used by health services when reporting an occupational violence incident internally, and to the police if required. Note: These forms **do not** replace the need to report the incident on the Victorian Health Incident Management System (VHIMS)/RISKMAN — this must still be done.

NOTE: A copy of this report must be provided to the HCW. If the incident is reported to the police, a copy of this report may need to be provided to the investigating officer.]	NOTE: A copy of this completed form needs to be retained by both the investigating police effort and the HOW. The HOW needs to provide the original copy of this completed form to the relevant hospital/medical practitioner who will then provide the medical encoder/spectra and/or statements to Viccitia Police.			Incident report ID: Date:
occupational violence incident report		Authority to release medical information (following an occupational violence incident in the workplace)	Actions checkl	ist	
ort date: Report time: Incident report ID:		(ioliowing an occupational violence incident in the workplace)	HCW	Manager/HSR	Police
t completed by: wid time of incident: incident cocurred: ef healthnear worker (HCW)/victim mith rame: Other names:	erican lander	By spring the Automy's evidean medical information form, you an applicitly dividual Belos occenent to obtain related in medical records, reports and/or statements from your learling medical practitioner or hospital. The processor of collecting this health information is to assist Morias Nices in the medication of a strong Brown and Strong to the addeding of the information, the interdiption may be disadvertaged and the protecution of the offence may be unable to proceed.	Seek medical attention, if required. Report the OV incident to your manager. Comsiste an OV incident report.	Ensure the HCW received modical attention if required. Report the OV incident to the HSR and, if required,	Obtain a copy of the Authority to release medical information form. Take formal statements from the HCW (victim) and any
ortiact number for HOWIecim: of incident: (including 'threat' or 'verbal assault')		т п'яз.т.яме: са марок-н-сик Беке са рако- си Молкеов	Complete an Authority to release medical information form.	to WorkSafe Victoria. Check and ensure that an OV incident report has been completed. Organise post-incident	the HCW (victim) and any witnesses about the incident and file the report. Provide the HCW with information on victims-of-ori support apencies and their
pans involved? ONo OYes If yes, type: gs or alcohol involved? ONo OYes	· · · · ·	hereby authorise INAME OF HOSPITALMEDICAL PRACTITIONER	Keep copies of the OV incident report and the completed	debriefing and counselling for staff involved in incident.	rights as a victim of orime.
and level of injuries:		to provide medical records, reports and/or statements as required to Victoria Police	Authority to release medical information form.	(If the HCW is required to attend a police station),	information and assistance regarding the process for
ss taken: ONo OYes e of offender (f known):		(NAME OF INVESTIGATING POLICE MEMBER)	Give original copy of release form to health service/medical practitioner that provided	organise for a support person to accompany the HCW to the station.	pursuing charges and judicial processes.
et details of offender (if known?): name and contact details of offender are not known, provide description (see over) victim's brief summary of incident		of gstation Accessing or Integration or email Accessing	examination and/or treatment post incident. Give copies of the OV incident form and the release form, and any available photos, to the	 Ensure the HCW is receiving ongoing physical, emotional, financial and/or legal support as required. Organise for a support person* 	 Roup the HOW Internation progress of their case. Inform the HCW if the offen has been charged with the crime.
es - brief summary of incident (if there are more witnesses, please attach other summaries)		relating to my medical examination's and/or treatment on/or about (following an occupational violence incident)	Investigating police officer. Receive information about victims of crime support agencies and your rights as a victim of crime	to accompany the HCW to any court appearances they need to attend. Provide the HCW with	Provide more detail to the HCW about what will happe in court if the case proceed.
ps - one sommary or incoment to entry and monosces, passed attach other sommarkey set - Name and context number: nany of incident.		para Signad pawarute or reson powi Authoreska lestvac or workwatak	from the investigating police officer. Attend post-incident debriefing and counselling as required.	information on making a worker's compensation claim.	
is 2 - Name and contact number:		Authority obtained and signature witnessed by me at	Check eligibility for a worker's compensation claim.	* A support person may include a manager, colleague, family member, friend, HSR or other.	
ary of incident:	<u> </u>	s.coatr2N)		Concernent, married, married define.	
s 3 - Name and contact number: any of incident:		(TME) (TME) Signed			
thy to release medical information form signed: ONo OYes		PARE, NAKE A TECS NO OF WITHESSANG MEMORY Completed form copied for the HCM and the police and original given back to HCM to pass on to relevant hospital/medical practitioner: Original Completed for the HCM and the police and original given back to HCM to pass on to relevant hospital/medical practitioner:	Initial: Date:	Initial: Date:	_
s consent for the police to obtain relevant medical information relating to incident) 10		UYes UNo 12		13	

OV incident reporting form



Actions checklist

Health services may use these forms as a checklist for reviewing the content of their own forms. Alternatively, they may choose to customise these forms by adding their own logo and other organisation-specific information.

The above forms can all be downloaded from: www.health.vic.gov.au/nursing/promoting/noviolence/strategy-3

NOTE: A copy of this report must be provided to the HCW. If the incident is reported to the police, a copy of this report may need to be provided to the investigating officer.

Occupational violence incident report

Report date:	Rep	ort time:	Incident report ID:
Report completed by:			
Date and time of incid	ent:		
Where incident occurr	ed:		
Affected healthcare w	orker (HCW)/victim	1	
Family name:			Other names:
Contact number for	HCW/victim:		
Type of incident: (inclu-	ding 'threat' or 'verl	oal assault')	
Weapons involved?	ONo OYes	lf yes, type:	
Drugs or alcohol involve	d? ONo OYes		
Type and level of injur	es:		
Photos taken:	ONo OYes		
Name of offender (if kr	iown):		
Contact details of offe	nder (if known*):		
*If name and contac	t details of offender	are not known,	provide description (see over)
HCW/victim's brief sur	nmary of incident		
Witness – brief summa	ary of incident (if th	ere are more wi	tnesses, please attach other summaries)
Witness 1 - Name and	contact number:		
Summary of incident:			
Witness 2 - Name and	contact number:		
Summary of incident:			
Witness 3 - Name and	contact number:		
Summary of incident:			

Authority to release medical information form signed: ONo OYes (HCW's consent for the police to obtain relevant medical information relating to incident)

Description of unnamed offender

Ethnic appearance:			
OUnknown	OAustralian Aboriginal	OAfrica/Middle Eastern	OArab
OAsian	OCaucasian	OIndian	OLatin American
OMaori	ONorthern European	OBlack	OPacific Islander
OSouthern European	Other:		
Hair colour	Hair length	Hairstyle	Eye colour
OBlack	OLong	OStraight	OGreen
OBrown	OShort	OWavy	OHazel
OBlond	OShoulder length	OCurly	OBlue
OGinger	OUnknown	OSpiked	OBrown
OUnknown	Other:	OUnknown	OGrey
Other:		Other:	OUnknown
Complexion	Build	Facial hair	Glasses
OFair	ONormal	OBeard	OUnknown
Olive	OHeavyset	OMoustache	Describe:
ODark	Obese	OGoatee	
OUnknown	OThin	OUnknown	
	OUnknown	Other:	
	Other:		
Speech	Teeth	Headwear	Footwear
OAccent	ONatural	OBeanie	OWorkboots
OUnknown	ODentures	ОСар	OThongs
Describe:	OUnknown	OUnknown	OSneakers
	Describe:	Other:	OUnknown
			Other:
Clothing – upper body	Clothing – lower body	Distinguishing features	
OUnknown	OUnknown	For example, tattoos, scars,	
Describe:	Describe:	involuntary movement, missing body part	
		OUnknown	
		Describe:	

Remarks

NOTE: A copy of this completed form needs to be retained by both the investigating police officer and the HCW. The HCW needs to provide the original copy of this completed form to the relevant hospital/medical practitioner who will then provide the medical records/reports and/or statements to Victoria Police.

Authority to release medical information (following an occupational violence incident in the workplace)

By signing the *Authority to release medical information* form, you are giving Victoria Police consent to obtain relevant medical records, reports and/or statements from your treating medical practitioner or hospital. The purpose of collecting this health information is to assist Victoria Police in the investigation of an offence. By not consenting to the collection of this information, the investigation may be disadvantaged and the prosecution of the offence may be unable to proceed.

		(FULL NAME OF PERSON - HCW)	(DATE OF BIRTH)
of			
		(ADDRESS)	
hereby authorise			
		(NAME OF HOSPITAL/MEDICAL PRACTITIONER)	
to provide medical record	ds, reports and/or	statements as required to Victoria Police	
		(NAME OF INVESTIGATING POLICE MEMBER)	
of			
of		(STATION ADDRESS)	
on telephone		Or email (MEMBER'S CONTACT NUMBER and EMAIL)	
	(DATE)	(follo	owing an occupational violence incident
Signed			
	(SIGNATURE C	F PERSON (HCW) AUTHORISING RELEASE OF INFOR	MATION)
Authority obtained and s	ignature witnessec	d by me at	
		(LOCATION)	
on	at	am / pm	
(DATE)		(TIME)	
Signed			
	(N/	AME, RANK & REG NO OF WITNESSING MEMBER)	
Completed form copied fo OYes ONo	r the HCW and the	police and original given back to HCW to pass	on to relevant hospital/medical practitioner

Incident report ID:

Date:

Actions checklist

HCW

- □ Seek medical attention, if required.
- Report the OV incident to your manager.
- Complete an OV incident report.
- Advise police you wish to file a report.
- Complete an Authority to release medical information form.
- □ Keep copies of the OV incident report and the completed Authority to release medical information form.
- Give original copy of release form to health service/medical practitioner that provided examination and/or treatment post incident.
- Give copies of the OV incident form and the release form, and any available photos, to the investigating police officer.
- Receive information about victims of crime support agencies and your rights as a victim of crime from the investigating police officer.
- Attend post-incident debriefing and counselling as required.
- Check eligibility for a worker's compensation claim.

Manager/HSR	Police
Ensure the HCW received medical attention if required.	Obtain a copy of the Authority to release medical information form.
Report the OV incident to the HSR and, if required, to WorkSafe Victoria.	Take formal statements from the HCW (victim) and any witnesses about the incident
 Check and ensure that an OV incident report has been completed. 	and file the report.
Organise post-incident debriefing and counselling for staff involved in incident.	information on victims-of-crime support agencies and their rights as a victim of crime.
☐ (If the HCW is required to attend a police station), organise for a support person to accompany the HCW to the station.	Provide the HCW with information and assistance regarding the process for pursuing charges and judicial processes.
Ensure the HCW is receiving ongoing physical, emotional, financial and/or legal support	 Keep the HCW informed of the progress of their case. Inform the HCW if the offender
as required.	has been charged with the crime.
Organise for a support person* to accompany the HCW to any court appearances they need to attend.	Provide more detail to the HCW about what will happen in court if the case proceeds.
Provide the HCW with information on making a worker's compensation claim.	
* A support person may include a manager, colleague, family member, friend, HSR or other.	

Initial:	Initial:	
Date:	Date:	

Useful websites

Below are addresses of websites that contain other useful resources and information on prevention and management of occupational violence for both HCWs and managers.

At www.justice.vic.gov.au/victimsofcrime you will find:

The Victims' charter Victims' Charter Act 2006 A victim's guide to support services and the criminal justice system Additional related publications

At www.health.vic.gov.au/nursing/promoting/noviolence you will find:

Preventing occupational violence: A policy framework including principles for managing weapons in Victorian health services Every day's a challenge: Responding to violence in Victorian health services – DVD Progress on Occupational Violence Prevention in Victorian Health Services: Including a snapshot of the work arising from the Taskforce on Violence in Nursing Additional resources including posters, a multilingual card of key messages and the Nurse Safe logo.

At www.worksafe.vic.gov.au you will find:

A handbook for workplaces: Prevention and management of aggression in health services. Information to assist employers and injured workers in meeting their obligations in the claim process.

To receive this document in an accessible format phone 9096 7528.

Authorised and published by the Victorian Government, 50 Lonsdale St, Melbourne. © Department of Health, July 2013 (1306008)