|  |
| --- |
| Checklist for an application to vary a Certificate of Approval in Principle to operate a Non-Emergency Patient Transport (NEPT) service |
| Non-Emergency Patient Transport – Licencing  |
|  |
| **Instructions:** * Complete each field marked with the following text field
* Complete the ‘attached’ column with either ‘Y’ or ‘N’
* Complete the ‘Document’ column with the exact document title and version number. Ensure version control has been updated for any amended documents (add rows as needed if attaching multiple documents)
* Complete the ‘Document Status’ column with either: new/ updated/ no change

For additional information refer to the *Guideline for an application to vary a Certificate of Approval in Principle to operate a Non-Emergency Patient Transport service*Email checklist and supporting documents to: Attention Team Leader, NEPTFirstAidRegulation@health.vic.gov.auIncomplete applications may be returned to the applicant. |

**Contact person for the purposes of the application:**

|  |  |
| --- | --- |
| **Full name of applicant** (person) |       |
| **Mobile** |       |
| **Telephone** |       |
| **Email** |       |
| **Name of NEPT AIP certificate holder** (proprietor of licence) |       |
| **Name of NEPT Service** *\*if different from above**NB: if using a business name, must be registered*  |       |

**Checklist**

| **No.** | **Item**  | Q | If not attached, please detail why **(i.e. document not applicable)** |
| --- | --- | --- | --- |
| **1** | **Application**  |
|  | *Schedule 2– Application for variation or transfer of a Certificate of Approval in Principle to operate a NEPT Service* |[ ]        |
|  | Payment of prescribed fee  |[ ]        |
| **2a** | **Please provide the appropriate information required for your kind of entity (A., B., or C.** |
|  | **A. Natural person (individual or partnership)** |
|  | * Name, address, contact phone etc
 |[ ]        |
|  | * *List of directors or controlling officers form – NEPT* (attach the completed form)
 |[ ]        |
|  | **B. Company**  |
|  | * Registered company office details
 |[ ]        |
|  | * Australian Securities and Investments Commission (ASIC) FULL company extract search *obtained in the last 30 days*
 |[ ]        |
|  | * *List of directors or controlling officers form – NEPT (attach form)*
 |[ ]        |
|  | * If subsidiary company, a company structure chart that shows the relationship between entities.
 |[ ]        |
|  | **C. Incorporated Association or other body corporate** |
|  | * Registered office of the incorporated association or body corporate
 |[ ]        |
|  | * Certificate of Incorporation or other documents
 |[ ]        |
|  | * Most recent Annual Report or Annual Return
 |[ ]        |
|  | * *List of directors or controlling officers form – NEPT* (attach form)
 |[ ]        |
| **2b** | **For EACH** * **natural person (individual or partnership), or**
* **director or officer of the body corporate**

**who does or who may exercise control over the NEPT Service** (i.e., all persons listed on the *List of directors or controlling officers form – NEPT*): |
|  | * Declaration of fitness and propriety form (attach form/s)
 |[ ]        |
|  | * Nationally Coordinated Criminal History Check (Police Check) issued within the past 6 months
 |[ ]        |
| **2c** | **Business Name** |
|  | Registered Business Name extract (where applicable) |[ ]        |
| **3** | **Financial Capacity**  |
|  | *Accountant’s statement form* (attach form) |[ ]        |
| **4** | **Insurance** |
|  | Insurance - public liability insurance and professional indemnity insurance, each to the value of not less than $20 000 000 |[ ]        |
| **5** | **Clinical Governance**  |
|[ ]  * Clinical Oversight Committee (CoC) composition and terms of reference
 |[ ]        |
|  | * CoC review and audit responsibilities including the following:
 |  |  |
|  | * Adverse patient safety event review methodology and process (including, but not limited to sentinel events)
 |[ ]        |
|  | * Patient transport review process
 |[ ]        |
|  | * Patient care record audit process
 |[ ]        |
|  | * Staff survey example questions
 |[ ]        |
|  | * Clinical advice procedure including recording of requests for advice
 |[ ]        |
|  | * Process for a NEPT request to be received and accepted or rejected by the NEPT service including assigning acuity and crew mix
 |[ ]        |
|  |  |  |  |
|  | * Patient handover policy or procedure
 |[ ]        |
|  | * Clinical nominee details
 |[ ]        |
| **6** | **Staff Credentialling** |
|  | * Staff credentialling policy and procedures (including qualification verification, competency assessment and supervision practices)
 |[ ]        |
|  | * Proposed skills maintenance training schedule
 |[ ]        |
|  | * Skills maintenance training record template
 |[ ]        |
|  | * Staff identification (copy or photo)
 |[ ]        |
| **7** | **Quality Assurance Plan**  |
|  | * Quality Assurance Plan (QAP) - including associated policies and procedures)
 |[ ]        |
|  | * Complaints management policy
 |[ ]        |
|  | * Complaints register
 |[ ]        |
|  | * Medication security, including storage, use, disposal and records
 |[ ]        |
|  | * QAP certificate of accreditation or proof of enrolment in accreditation
 |[ ]        |
|  | * QAP Certificate of accreditation and last audit report (if already accredited)
 |[ ]        |
| **8** | **Infection Control Management Plan (ICMP)** |
|  | * Infection Control Management Plan (ICMP)
 |[ ]        |
| **9** | **Occupational Health and Safety (OH&S) Plan** |
|  | * OHS plan
 |[ ]        |
|  | * OHS plan accreditation or proof of enrolment in accreditation
 |[ ]        |
|  | * OHS certification of accreditation and last audit report (if already accredited)
 |[ ]        |
| **10** | **Reporting** |
|  | * Sentinel event and adverse patient safety event reporting
 |[ ]        |
|  | * Record keeping policies and procedures
 |[ ]        |
|  | * Patient Care Record (PCR) template, or example
 |[ ]        |
|  | * PCR audit/review template, or example
 |[ ]        |
|  | * Staff record template
 |[ ]        |
| **11** | **Vehicle and equipment** |
|  | * Annual equipment maintenance schedule, and evidence of biomedical testing
 |[ ]        |
|  | * Equipment list and inventory
 |[ ]        |
|  | * Vehicle procurement plan including details of proposed vehicle fit out
 |[ ]        |
|  | * Communication devices details
 |[ ]        |
|  | * Medication inventory
 |[ ]        |
|  | * *Vehicle data form – NEPT* (attach form)
 |[ ]        |
|  | * Copy of the current Air operator’s certificate issued by CASA for each aircraft
 |[ ]        |

* Email completed applications to: Attention Team Leader, NEPTFirstAidRegulation@health.vic.gov.au
* Following receipt and review of an application an invoice will be issued.

NB: The application will be processed once payment is received*.*

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