

Section 7: Editing

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Introduction

This section contains descriptions for each ESIS edit and describes the action to be taken when the edit is encountered.

Rejection edit

Indicates there is a problem with the Primary or Foreign Key of this record and it was neither inserted into the relevant database table, nor did it update any existing record in the relevant database table.

Action required: If the record in question was never meant to be inserted into the relevant table nor update any existing record in the relevant table of the ESIS Editing Database, no action is required.

If the record in question was intended to be either an update or an insert, correct and resubmit.

It is essentially a judgement call as to whether records causing rejections need to be corrected. Therefore there is no requirement that these be corrected within 21 days of the end date of the extract in which they were first identified. The overriding requirement remains that a site's activity for a given month be reported by the tenth day of the following month.

Correction edit

The record or records related to this edit exist in the ESIS Editing database but there are errors in the data that are required to be corrected within 21 days of the end date of the extract in which they were first identified.

Notifiable edit

Notifiable edits are those where the data would, in the majority of cases, be incorrect. For a very small number of episodes per year statewide, the combination of data items may be correct. The record is accepted into the ESIS editing database but something must be checked and possibly corrected.

If data are wrong and have been corrected, no further action is required by the hospital. However, if data are correct, hospitals will need to confirm this with HDSS.

Warning Edits

Warning edits are those where the data is not usual, but possible. The record has been accepted but the data needs to be checked and possibly corrected.

S066 Patient Identifier Invalid

Effect Rejection

Problem The Patient Identifier is invalid.

Remedy Correct the Patient Identifier and resubmit.

Valid:

- Alpha numeric characters only.
- Length equal to exactly 10 characters.
- Leading zero filled.

Report this error to your software supplier.

Refer:

- Section 3 – Data Definitions: Patient Identifier.

S081 Medicare Number Invalid

Effect Correction

Problem The Medicare Number is invalid.

Remedy Correct and resubmit.

Valid:

- 11 Numeric characters
- Valid check digit (the ninth digit)
- First digit is between 2 and 6
- If blank, valid suffixes are C-U, P-N, or N-E.

If the correct Medicare Code cannot be determined because of card unavailability report a blank Medicare Number and 'C-U' in the Medicare Suffix field.

Refer:

- Section 3 – Data Definitions: Medicare Number.

S082 Medicare Code Is '0' And Age Is Greater Than 180 Days

Effect Warning

Problem This record contains a zero as the Medicare Code (11th character in the Medicare Number field), but the patient's Date of Birth indicates that the patient is older than 180 days.

The Medicare Code indicates the infant has not yet been placed on a parent's Medicare Card. However if the patient is older than 180 days, it is unlikely that they do not have a Medicare Number and Code.

Remedy If the Medicare Code is incorrect, correct the Medicare Number and Code and resubmit.

Refer:

- o Section 2 – Concept Definitions: Extract End Date
- o Section 3 – Data Definitions: Date of Birth and Medicare Number.

S088 Medicare Suffix Invalid

Effect Correction

Problem The Medicare Suffix is invalid.

Remedy Amend as appropriate and re-submit the patient-level record.

- o If the Medicare Number is present, enter the Medicare Suffix as first three characters of the patient's given name as it appears on the card, or BAB for an unnamed neonate.
- o If the Medicare Number was not reported but is now available, enter the Medicare Number and Medicare Suffix.
- o If the Medicare Number was not reported and is not available, enter the Medicare Suffix as C-U, P-N or N-E.

Medicare Suffix may be less than three characters in length where the patient's given name is less than three characters in length.

Refer:

- o Section 3 – Data Definitions: Medicare Suffix, Medicare Number.

S091 Sex Code Invalid

Effect	Correction
Problem	A Sex code has not been reported or the code specified does not exist in the Sex codeset.
Remedy	Correct or allocate the Sex code and resubmit. Report this error to your software supplier. Refer: <ul style="list-style-type: none">o Section 3 – Data Definitions: Sex.

S093 Unusual Sex Code Reported

Effect	Notifiable
Problem	This record's Sex code is '3' (Indeterminate) or '4' (Intersex).
Remedy	Although these codes are allowed, their incidence is exceedingly rare, and the patient's record should be checked to verify that the appropriate Sex code has been allocated. If Sex Code is incorrect, correct and resubmit the patient-level record. If Sex Code is correct, notify HDSS via ESIS.ESIS@dhs.vic.gov.au Refer: <ul style="list-style-type: none">o Section 3 – Data Definitions: Sex.

S096 Date of Birth Invalid

Effect Correction

Problem The Date of Birth is invalid or blank.

Remedy Valid: DDMMCCYY

Correct the Date of Birth and resubmit the patient-level record.

If the Date of Birth is unknown, estimate the year of birth and report 0000 (zeros) in the DDMM, and the estimated year in CCYY: date 00MMCCYY is not a valid entry.

Refer:

- o Section 3 – Data Definitions: Date of Birth.

S099 Clinical Registration Date Before Date of Birth

Effect Correction

Problem The Clinical Registration Date for this episode-level record is earlier than the patient's Date of Birth.

Remedy Check the Clinical Registration Date and Date of Birth. Amend whichever is incorrect and resubmit.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Date of Birth and Clinical Registration Date.

S122 Postcode/Locality Combination Invalid

Effect Notifiable

Problem The combination of Postcode and Locality reported, does not exist in the Postcode/Locality codeset.

Remedy Correct Postcode and/or Locality and resubmit.

If you encounter a newly created Postcode/Locality that does not exist in HDSS' Postcode/Locality codeset, please notify DHS via ESIS.ESIS@dhs.vic.gov.au so that the file can be updated.

Refer:

- Section 3 – Data Definitions: Postcode and Locality.

S134 Principal Prescribed Procedure Invalid

Effect Correction

Problem A Principal Prescribed Procedure code has not been reported or the code specified does not exist in the Principal Prescribed Procedure codeset.

Remedy Allocate or correct the Principal Prescribed Procedure code and resubmit.

Report this error to your software supplier.

Refer:

- Section 3 – Data Definitions: Principal Prescribed Procedure.

S135 Patient Already On Waiting List For Same PPP

Effect Notifiable

Problem There is at least one other episode with the same PPP for this patient AND these episodes overlap, AND it would be exceedingly unlikely for a patient to be waiting to receive this PPP more than once.

Remedy There are three lists of PPPs that affect this edit:

- o List A (PPPs for Concurrent Ready For Care Episodes)
- o List B (PPPs for Concurrent Not Ready For Care Episodes)
- o PPPs whose PPP code is "500" or higher.

If the PPP for the episodes in question is not in the above lists, and the patient is genuinely waiting to receive the procedure more than once, notify HDSS (e-mail ESIS.ESIS@dhs.vic.gov.au providing the PPP code number and an explanation of the circumstances, requesting that it be added to the appropriate list). Otherwise correct and resubmit any incorrect PPPs OR delete any duplicate episodes.

If the PPP is in List B, only one episode can be Ready For Care at any given point in time, please correct either the PPP or the Readiness for Care for the affected episodes OR delete any duplicate episodes.

Refer:

- o Section 3 – Data Definitions: Patient Identifier, Principal Prescribed Procedure, Clinical Registration Date, Removal Date and Readiness For Care.

S147 Surgical Specialty Invalid

Effect Correction

Problem A Surgical Specialty code has not been reported or the code specified does not exist in the Surgical Specialty codeset.

Remedy Correct or allocate the Surgical Specialty and resubmit.

Refer:

- o Section 3 – Data Definitions: Surgical Specialty.

S167 Planned Length Of Stay Invalid

Effect	Correction
Problem	A Planned Length of Stay code has not been reported or the code specified does not exist in the Planned Length of Stay codeset.
Remedy	Correct or allocate the Planned Length of Stay and resubmit. Refer: <ul style="list-style-type: none">○ Section 3 – Data Definitions: Planned Length of Stay.

S169 Clinical Registration Date Invalid

Effect	Correction
Problem	The Clinical Registration Date for this record is invalid or blank.
Remedy	Valid: DDMMCCYY Correct or insert the Clinical Registration Date and resubmit. Refer: <ul style="list-style-type: none">○ Section 3 – Data Definitions: Clinical Registration Date.

S174 New Episode, Old Clinical Registration Date

Effect Notifiable

Problem This is a new record (it did not exist in ESIS at DHS until this submission) but the Clinical Registration Date is three or more months prior to the extract end date of this submission. For example if the month of the extract end date is March, this episode's Clinical Registration Date is prior to January.

Remedy If the Clinical Registration Date is incorrect, correct it and resubmit.

If the Clinical Registration Date is correct, notify HDSS via
ESIS.ESIS@dhs.vic.gov.au

Refer:

- o Section 3 – Data Definitions: Clinical Registration Date.

S193 Source of Referral Invalid

Effect Correction

Problem A Source of Referral code has not been reported or the code specified does not exist in the Source of Referral codeset.

Remedy Correct or allocate the Source of Referral and resubmit.

Refer:

- o Section 3 – Data Definitions: Source of Referral.

S287 Scheduled Admission Date Exceeded

Effect Notifiable

Problem This SAD has been exceeded and:

- The related Reason SAD Changed event (or cancellation) was not reported as having occurred between the date on which the scheduling occurred (the event date) and the SAD; OR
- No removal details on or before the SAD were reported.

"Exceeded" means that either:

- The extract end date date is greater than the SAD and no removal details exist; OR
- The removal date reported is greater than the SAD; OR
- The cancellation date (the Reason SAD Changed event date) for this booking is greater than the SAD.

Remedy If this episode was unremoved on the Scheduled Admission Date please report a Reason SAD Changed event, occurring between the Date on which the scheduling (or booking) took place and the SAD.

If this episode was removed on or before the SAD, report the removal details.

Refer:

- Section 3 – Data Definitions: Event Type, Event Date, Event Value, Reason for Removal and Scheduled Admission Date.

Notes:

This edit is Notifiable because sites can report a cancellation date (the Reason SAD Changed event date) that is later than the SAD for that booking only where the admission took place as planned but the surgery was cancelled, and the cancellation occurred on a date later than the admission date.

Bookings and their Cancellations are linked together using the SAD Identifier.

S290 Removal Date Invalid

Effect Correction

Problem The Removal Date is invalid.

Remedy Valid: DDMMCCYY or blank

Invalid: Removal Date Later than Extract End Date.

Correct the Removal Date and resubmit.

Refer:

- o Section 2 – Concept Definitions: Extract End Date.
- o Section 3 – Data Definitions: Removal Date.

S291 Removal Date is Before Clinical Registration Date

Effect Correction

Problem The reported Removal Date is before the reported Clinical Registration Date.

Remedy Check the Removal Date and the Clinical Registration Date, correct whichever is incorrect and resubmit.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Clinical Registration Date and Removal Date.

S295 Date Of Admission Not Equal To Scheduled Admission Date

Effect Correction

Problem This waiting episode has a Reason for Removal of W but this uncancelled Scheduled Admission Date is not equal to the Date of Admission. An uncancelled SAD is one that does not have a corresponding "Reason SAD Changed" event.

Remedy The latest Scheduled Admission Date should be uncancelled and equal the Date of Admission if the reason for removal is W.

Correct the Scheduled Admission Date and/or the Admission Date and resubmit.

Refer:

- o Section 3 – Data Definitions: Date Of Admission for Awaited Procedure, Event Type, Event Value, Reason for Removal, and Scheduled Admission Date.

S296 Reason For Removal Implies Procedure Received, But Not Ready For Care

Effect Correction

Problem This waiting episode has a Reason for Removal of W, X or S but the patient was not Ready For Care at removal for this episode.

Remedy If the waiting episode ended with the patient receiving the awaited procedure, determine the date the patient became Ready For Care, and report an intra-episode event reflecting that.

If the episode has ended, but with the patient not receiving the awaited procedure, correct the Reason for Removal.

If the episode has not ended, correct the Reason For Removal and the Removal Date.

Refer:

- o Section 3 – Data Definitions: Reason for Removal, Event Type, and Event Value.

S298 Reason for Removal Invalid

Effect Correction

Problem The Reason for Removal does not exist in the Reason for Removal codeset.

Remedy Enter the correct Reason for Removal and resubmit.

Refer:

- o Section 3 – Data Definitions: Reason for Removal.

S303 Insurance Declaration Invalid

Effect

Correction

Problem

- The value reported does not exist in the Insurance Declaration codeset, OR
- This record has a Reason for Removal of W, X, M or Y but Insurance Declaration is null (blank), OR
- This record has a reason for removal of S, AND a destination that is a valid ESAS campus code AND Insurance Declaration is null (blank).

Note that this means that Insurance Declaration for episodes removed with an S whose destination is a valid PPESI campus code, can be either null (blank) or any valid Insurance Declaration code.

Remedy

Correct or allocate the Insurance Declaration and resubmit.

Refer:

- Section 3 – Data Definitions: Insurance Declaration and Reason for Removal.

S310 Invalid Destination/Reason For Removal Combination

Effect Correction

Problem The waiting episode has a Reason for Removal that requires a valid Destination code to be completed

OR

The waiting episode has a Destination code, but either no Reason for Removal, or a Reason for Removal that does not require a Destination code.

Remedy Check whether the record was transferred to another hospital or treated at another hospital under contract, ESAS, or similar arrangement:

If Reason for Removal is:

- o N, Destination must be in the 'Non ESIS campus' codeset.
- o X, Destination must be in the 'Destination (Contract Arrangement)' codeset.
- o S, Destination must be in the 'Destination (ESAS Treatment Campus)' codeset.

If the patient has not been removed, do not report a Destination.

Refer:

- o Section 3 – Data Definitions: Reason for Removal and Destination.

S311 Wait Equals Five Years (1827 Days) Or More

Effect Warning

Problem The total duration of this unremoved episode (including days not Ready for Care) equals or exceeds 1827 days.

Remedy Determine whether the patient should still be on the waiting list. If not, report the date the patient was intended to be removed, and the Reason for Removal. Complete the appropriate fields and resubmit.

Waiting time for this edit is calculated as the difference in days, between the Clinical Registration date and the Extract End Date.

Refer:

- Section 3 – Data Definitions: Clinical Registration date

S315 Clinical Urgency Cat 1, Wait More Than 30 Days

Effect Notifiable

Problem This episode's most recent period as Urgency Category 1 exceeds 30 days.

Note that episodes with PPPs in the '500' range, are excluded from this edit.

Remedy If the record's most recent Urgency Category is "1", notify HDSS via ESIS.ESIS@dhs.vic.gov.au

If it is not:

- Report any date(s) the episode's Clinical Urgency changed,
- Report any date(s) the patient's Readiness for Care changed,

and resubmit.

If the episode should have been removed previously, report the correct removal date and resubmit.

Refer:

- Section 3 – Data Definitions: Clinical Urgency, Readiness For Care, Administrative Registration Date, Removal Date.

S370 Treatment Campus Invalid

Effect Correction

Problem The Treatment Campus code has not been reported or the code specified is invalid.

Remedy For submitting organisations reporting at the campus level, report Treatment Campus as your Campus code in all cases.

For submitting organisations reporting at the Health Service level the Treatment Campus code must represent a campus from within your Health Service:

- o For episodes removed with a Reason for Removal of W, report the actual campus within your Health Service at which treatment took place.
- o For all other Reasons for Removal, and for unremoved episodes, report the campus within your Health Service at which it is intended treatment will take place.

Refer:

- o Section 3 – Treatment Campus

S375 Invalid Clinical Urgency Category for ESAS Reason for Removal

Effect Notifiable

Problem This record has a Reason for Removal code of S but the Clinical Urgency category at removal is "1".

Remedy If the Clinical Urgency is incorrect, go back to the intra-episode event in which it was set, and change the Event Value to the correct Clinical Urgency.

If the Clinical Urgency was "1" but has since changed, then this change has not been reported, report the intra-episode event in which the change was made, including the date the change was made (the event date) and the Urgency category to which the episode changed (the event value).

If the Reason for Removal is incorrect, correct and resubmit.

If Clinical Urgency 1 Urgent is correct notify HDSS via
ESIS.ESIS@dhs.vic.gov.au

Refer:

- o Section 3 – Data Definitions: Clinical Urgency and Reason for Removal.

S376 Incorrect Zip file name

Effect Run Terminated

Problem The zip file received does not conform to the specified file naming convention.

Remedy Correct the filename so that it conforms to the zip file naming convention.

Check that the sequence number is one greater than the previous submission.

Check that the year, month, and day in the current submission's filename are no greater than one month more than the previous submission's year, month and day.

Check that year, month and day are at least one day greater than the previous submission's year, month and day.

Refer:

- o Section 5 – Compilation and Submission.

S377 Incorrect Text Extract Name

Effect	Run Terminated
Problem	The text extract received does not conform to the specified file naming convention.
Remedy	<p>Correct the filename so that it conforms to the text extract naming convention.</p> <p>Check that sequence number corresponds with the sequence number in this submission's zip filename.</p> <p>Check that year, month and day correspond with year, month and day in this submission's zip filename.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 5 – Compilation and Submission.

S378 Invalid Episode Identifier

Effect	Rejection
Problem	<p>The Episode Identifier is invalid.</p> <p>Valid:</p> <ul style="list-style-type: none">o Alpha numeric characters only.o Length exactly nine characters.o Leading zero filled.
Remedy	<p>Correct, resubmit, and if the system is not validating this data, report the error to your software supplier. This record will not be processed and therefore this error will affect reconciliation.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Episode Identifier.

S379 Episode Identifier Exists Multiple Times in One Episode-Level Extract

Effect Rejection

Problem There is more than one instance of an Episode Identifier in the Episode-level extract for this submission (excluding delete triggers). Each extract can only contain a maximum of one instance of each episode and one instance of each episode deletion.

Remedy All instances of the duplicated Episode Identifier in this submission will not be processed. This will affect reconciliation.

In the next submission, resubmit a maximum of one instance of the affected episode and one instance of each episode deletion.

Report this error to your software supplier.

Refer:

- Section 3 – Data Definitions: Episode Identifier.

S380 Referential Integrity Error Between Episode and Patient

Effect Rejection

Problem The Patient Identifier reported in this Episode-level record, is:

- Not in the Patient-level table, OR
- Is blank.

Remedy Correct and resubmit the Episode-level Patient Identifier, or the patient-level record that is intended to relate to this episode-level record.

Refer:

- Section 3 – Data Definitions: Episode Identifier, Patient Identifier.

S381 Referential Integrity Error Between Intra Episode Event and Episode

Effect Rejection

Problem The Episode Identifier reported in this Intra Episode-level record, is:

- o Not in the Episode-level table, or
- o Is blank.

Remedy Correct and resubmit the Episode Identifier in the Intra Episode level table.

Refer:

- o Section 3 – Data Definitions: Episode Identifier.

S382 Patient Identifier Exists Multiple Times in One Patient-Level Extract

Effect Rejection

Problem There is more than one instance of this Patient Identifier in the Patient-level extract for this submission (excluding delete triggers). Each extract can only contain a maximum of one instance of each patient-level record and one instance of each patient-level deletion.

Remedy All instances of the duplicated Patient Identifier in this submission will not be processed.

In the next submission, resubmit a maximum of one instance of the affected patient-level record and one instance of each patient-level deletion.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Patient Identifier.

S383 Multiple Events of Same Type for Same Episode on One Day

Effect Rejection

Problem Event Type is 'Urgency' or 'Readiness' and more than one event of the same type has been reported for the same day. For example two changes in Readiness Status cannot be reported for an episode on the same day.

Remedy In the next submission, submit a maximum of one instance of the affected intra episode-level record (a maximum of one instance of each type of event per episode per day).

Report this error to your software supplier.

Refer:

- Section 3 – Data Definitions: Episode Identifier, Event Type, Event Date.

S384 Invalid Event Date

Effect Rejection

Problem The format of the event date is invalid or blank.

Remedy Valid format:

- DDMMCCYY

Invalid:

- Before the Clinical Registration date, or
- After the removal date, or
- After the extract end date.

Correct and resubmit.

Report this error to your software supplier.

Refer:

- Section 3 – Data Definitions: Episode Identifier, Event Type, Event Date.

S385 Invalid Event Type

Effect Rejection

Problem The Event Type is blank or invalid. Valid Event Types are listed in the Event Types Codeset.

Remedy Correct and resubmit.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Event Type.

S386 PPP for this Episode has Changed

Effect Warning

Problem The PPP reported in this submission is different to the PPP previously reported for this episode.

Remedy Determine the reason for the alteration of the Principal Prescribed Procedure code.

- o If the patient's procedure has been altered to a new Principal Prescribed Procedure to treat a separate condition, a new episode must be started. The Principal Prescribed Procedure cannot be changed unless the intention of the new Principal Prescribed Procedure is to treat the condition for which the patient was originally placed on the waiting list.
- o If the change is due to a previous data entry error or the patient is now waiting for a different procedure that will treat the same condition as the previously reported Principal Prescribed Procedure, no further action needs to be taken.

Refer:

- o Section 3 – Data Definitions: Principal Prescribed Procedure, Unique Key and Waiting Number.

S387 Surgical Specialty has Changed

Effect	Warning
Problem	The Surgical Specialty reported in this submission is not the same as that reported in previous submissions.
Remedy	<p>Determine the reason for the alteration of the Surgical Specialty code:</p> <ul style="list-style-type: none">○ If the Surgical Specialty has been altered to treat a separate condition, a new episode must be started. The Surgical Specialty cannot be changed unless the intention of the new Surgical Specialty is to treat the same condition that resulted in the patient being originally placed on the waiting list.○ If the change is due to a previous data entry error or the Surgical Specialty has been changed and the patient is waiting for a procedure that will treat the same condition as previously reported, no further action needs to be taken. <p>Refer:</p> <ul style="list-style-type: none">○ Section 3 – Data Definitions: Surgical Specialty, Episode Identifier.

S388 Clinical Registration Date Has Changed

Effect	Warning
Problem	This record's Clinical Registration date has changed since the previous submission.
Remedy	<p>Check the Clinical Registration date:</p> <ul style="list-style-type: none">○ If it was originally reported incorrectly, and this submission is a correction, notify HDSS at ESIS.ESIS@DHS.vic.gov.au explaining the circumstances.○ If the Clinical Registration date was changed in error, resubmit the original Clinical Registration date. <p>Refer:</p> <ul style="list-style-type: none">○ Section 3 – Data Definitions: Clinical Registration date.

S389 Invalid Intra Episode Event Value For Clinical Urgency Change

Effect Correction

Problem A change in Clinical Urgency Event Type has been reported, but the reported event value does not exist in the Clinical Urgency codeset.

Remedy Correct the Event Type or Event Value and resubmit.

If this event was reported in error, submit a delete trigger.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Clinical Urgency, Event Type, Event Value.

S390 Invalid Intra Episode Event Value For Readiness Change

Effect Correction

Problem A change in Readiness For Care Event Type has been reported, but the reported event value does not exist in the Clinical Urgency codeset.

Remedy Correct the Event Type or Event Value and resubmit.

If this event was reported in error, submit a delete trigger.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Readiness For Care, Event Type, Event Value.

S391 Invalid Intra Episode Event Value For SAD Change Reason

Effect Correction

Problem An SAD Change Event Type has been reported, but the reported event value does not exist in the Reason For SAD Change codeset.

Remedy Correct the Event Type or Event Value and resubmit.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Reason for Change Of Scheduled Admission Date, Event Type, Event Value.

S392 Invalid Intra Episode Event Value For Set SAD Event

Effect Correction

Problem A Set SAD Event Type has been reported, but the reported event value (the Scheduled Admission Date) is either:

- o Not a valid date format (DDMMCCYY).
- o Less than the Clinical Registration Date.
- o More than 1 year later than the Event Date (the Booking Date).

Remedy Correct the Event Type or Event Value and resubmit.

Report this error to your software supplier.

Refer:

- o Section 3 – Data Definitions: Scheduled Admission Date, Event Type, Event Value.

S395 Removal Date/Reason For Removal Mismatch

Effect Correction

Problem Either:

- A Removal Date has been reported without a Reason for Removal, or
- A Reason for Removal has been reported without a Removal Date.

Remedy If the Episode has been removed from the waiting list:

- Complete the missing field with the correct information.
- Resubmit the episode level record.

If the Episode has not been removed from the waiting list:

- Resubmit the episode-level record without data in the Removal Date field or the Reason for Removal field.

Refer:

- Section 3 – Data Definitions: Reason For Removal, Removal Date.

S397 Unmatched Transfer as Reported by Receiving Organisation

Effect Correction

Problem Transfer-related data from this organisation does not match the originating organisation's transfer data.

Remedy Either of the following fields could be affected:

- Clinical Registration date (needs to match removal date from the originating organisation);
- Previous Identifier of Transferred Episode (must be the reporting organisation code and the nine digit episode identifier from the originating organisation);

If this episode is not a received transfer, resubmit with the appropriate Source Of Referral and blank Transferred Episode ID.

If the episode is a received transfer, contact the originating organisation and verify that you have reported:

- The correct originating Episode Identifier, and
- The correct transfer date, and
- The correct originating organisation code

and that the originating organisation has reported the correct Reason For Removal and Removal Date.

The error will be cleared by the receiving and/or originating organisation correcting and resubmitting so that the records in both datasets match. It therefore cannot be applied in real-time because it is reliant on the receipt of data from both the originating organisation and the receiving organisation. It applies only to transfers between ESIS organisations.

Refer:

- Section 3 – Data Definitions: Event Type, Event Value.
- Section 4 – Business Rules: Transfer Of Ownership Of Waiting Episode

S398 Unmatched Transfer as Reported by Originating Organisation

Effect Correction

Problem Transfer-related data from this organisation does not match the receiving organisation's transfer data.

Remedy This edit is triggered where Reason for Removal is T and Episode Identifier and Removal Date do not correspond with transfer data reported by the receiving organisation.

If the episode is not a transfer to another ESIS organisation, resubmit with correct Removal Date, Reason for Removal values.

If the episode is a transfer to another ESIS organisation, contact that organisation and verify that they have reported:

- o The correct Episode Identifier,
- o The correct transfer date, and
- o The correct originating organisation code (noting that Health Service codes, rather than Campus codes, should be used where the originating organisation reports as a Health Service).

The error will be cleared by the receiving and/or originating organisation correcting and resubmitting so that the records from both sites match.

Refer:

- o Section 3 – Data Definitions: Event Type, Event Value.
- o Section 4 – Business Rules: Transfer Of Ownership Of Waiting Episode

S399 Date Of Admission For Awaited Procedure But No Removal Date

Effect	Correction
Problem	This record has a Date of Admission for the Awaited Procedure but no Removal Date.
Remedy	<p>If the waiting episode has been removed, AND the awaited procedure has been performed, report the appropriate Removal Date and Reason for Removal and resubmit.</p> <p>If the waiting episode has been removed, AND the awaited procedure has NOT (yet) been performed, remove the Date of Admission, report the appropriate Removal Date and Reason for Removal and resubmit.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Date Of Admission for Awaited Procedure, Removal Date

S400 Date Of Admission For Awaited Procedure Invalid

Effect	Correction
Problem	<p>Date of admission is invalid in that:</p> <ul style="list-style-type: none">o (Reason For Removal is W, S, X, M, Y, B, U, or I and Date Of Admission is null) ORo (Reason For Removal is Not null and not W, S, X, M, Y, B, U, or I and Date Of Admission is not null) ORo (Date Of Admission is greater than Removal Date) ORo (Date Of Admission is less than Clinical Registration Date)
Remedy	<p>Valid: A date of format DDMMCCYY that is on or before the Removal Date AND on or after the Clinical Registration Date,</p> <p>where Reason For Removal is W, S, X, M, Y, B, U, or I.</p> <p>Correct Date of Admission for Awaited Procedure and resubmit.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Date of Admission For Awaited Procedure, Reason for Removal and Removal Date.

S401 Date of Admission/Reason for Removal Mismatch

Effect Correction

Problem This record has a Date of Admission for Awaited Procedure but Reason for Removal is not W, S, X, M, Y, B, U or I.

or

This record's Date of Admission is blank but Reason for Removal is W, S, X, M, Y, B, U or I.

Remedy If Reason for Removal is not W, S, X, M, Y, B, U or I, remove data from Date of Admission for Awaited Procedure field and resubmit.

If Reason for Removal should be W, S, X, M, B, U or I, correct and resubmit.

Where Reason For Removal is B, U or I, it may be impractical to determine the exact dates of admission and procedure. If this is the case record the most plausible dates possible given the available evidence.

Refer:

- o Section 3 – Data Definitions: Date of Admission For Awaited Procedure, Reason for Removal and Removal Date.

S403 Date of Admission For Awaited Procedure is After Removal Date

Effect Correction

Problem The reported Date of Admission For Awaited Procedure is later than Removal Date.

Remedy Correct Removal Date and/or Date of Admission For Awaited Procedure and resubmit.

Refer:

- o Section 3 – Data Definitions: Date of Procedure and Removal Date.

S405 Non Specific ("Other") PPP Code Used, but no PPP Description

Effect	Correction
Problem	A non-specific PPP code has been used (for example "130" Other Orthopaedic Surgery), but the free text description of the procedure has not been reported.
Remedy	<p>Either allocate a more specific PPP code, or report the PPP description (minimum of three characters). Descriptions greater than 100 characters will be accepted but right trimmed to a length of 100 characters.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Principal Prescribed Procedure, PPP Description.

S408 The Patient Identifier to Which This Episode Relates, has Changed

Effect	Notifiable
Problem	The Patient Identifier reported in this Episode-level record submission, is different from the Patient Identifier that has previously been reported.
Remedy	<p>This will be valid only where:</p> <ul style="list-style-type: none">o Histories are merged and a waiting episode goes to a different Patient Identifier, in which case report the merge using the Merge extract.o A waiting episode was originally allocated to the wrong patient and the reporting organisation's system has the capacity to move it to the correct patient. <p>If new Patient Identifier is verified as correct, notify HDSS via ESIS.ESIS@dhs.vic.gov.au explaining the circumstances for the change.</p> <p>If the new Patient Identifier is incorrect, correct and resubmit.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Patient Identifier, Episode Identifier

S409 Age Greater Than 105 Years

Effect Notifiable

Problem The patient's age is calculated as being greater than 105 years.

Remedy If the patient has no unremoved episodes, age is calculated as the difference in years between the Date of Birth and the Removal Date of the most recently removed episode.

If the patient has any unremoved episodes, age is calculated as the difference in years between the Date of Birth and the Extract End Date.

Refer:

- o Section 2 – Concept Definitions: Extract End Date
- o Section 3 – Data Definitions: Date of Birth, Removal Date

S411 Sex/Surgical Specialty Mismatch

Effect Warning

Problem This episode's Surgical Specialty does not appear compatible with this patient's sex.

Remedy If sex and Surgical Specialty are verified as correct, notify HDSS via ESIS.ESIS@dhs.vic.gov.au explaining the circumstances.

If Sex or Surgical Specialty are incorrect, correct and resubmit.

Refer:

- o Section 3 – Data Definitions: Sex, Surgical Specialty

S412 Episode Registered Without a Clinical Urgency

Effect Correction

Problem The episode's Clinical Registration Date does not have a corresponding Clinical Urgency event recorded on that date. The initial Clinical Urgency of all episodes must be based on the clinical assessment that precipitated the episode, and therefore must be recorded as occurring at Clinical Registration.

Remedy Determine the Clinical Urgency at Clinical Registration and submit the Clinical Urgency event with the Event Date being the same as the Clinical Registration Date.

Refer:

- o Section 3 – Data Definitions: Event Date, Event Type, Clinical Registration Date

S413 Episode Registered Without a Readiness For Care

Effect Correction

Problem The episode's Clinical Registration Date does not have a corresponding Readiness for Care event recorded on that date. All episodes should have a Readiness for Care at Clinical Registration.

Remedy Determine the Readiness for Care at clinical registration and submit the Readiness for Care event with the Event Date being the same as the Clinical Registration Date.

Refer:

- o Section 3 – Data Definitions: Event Date, Event Type, Clinical Registration Date

S414 Previous Identifier of Transferred Episode Invalid

Effect	Correction
Problem	<p>The Source of Referral is "2" but the Previous Identifier of Transferred Episode is either blank or an invalid format</p> <p>OR</p> <p>The Source of Referral is not "2" but the Previous Identifier of Transferred Episode is not blank.</p>
Remedy	<p>If the Waiting Episode's source of Referral is "2", report the Previous Identifier as described in Section Three.</p> <p>If the Waiting Episode's source of Referral is not "2", report this field as blank.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Previous Identifier of Transferred Episode

S415 Ceased Patient Identifier Does Not Exist

Effect	Rejection
Problem	The Ceased Patient Identifier reported in the merge extract has not previously been reported (it is acceptable to report the word UNMERGE in this field).
Remedy	<p>Either correct and resubmit the merge extract, or submit the patient level record whose identifier cannot be located.</p> <p>Refer:</p> <ul style="list-style-type: none">o Section 3 – Data Definitions: Ceased Patient Identifier

S416 Retained Patient Identifier Does Not Exist

Effect	Rejection
Problem	The Retained Patient Identifier reported in the merge extract has not previously been reported.
Remedy	Either correct and resubmit the merge extract, or submit the patient level record whose identifier cannot be located. Refer: <ul style="list-style-type: none">o Section 3 – Data Definitions: Retained Patient Identifier

S417 Scheduled Admission Date Changed Without Reason For Change

Effect	Correction
Problem	More than one admission date has been scheduled for this patient, but a Reason For Scheduled Admission Date Change has not been reported for each change. 'Set SAD' and 'Reason SAD Changed' events must be paired together by the Scheduled Admission Date Identifier. Because this episode's SAD has been rescheduled, a Reason SAD Changed' event with a Scheduled Admission Date Identifier corresponding to the previously reported scheduled admission is required.
Remedy	For each Scheduled Admission Date reported after the first Scheduled Admission Date is set, a Reason For Scheduled Admission Date Change relating to the previous scheduling must also be reported. The event date for each Reason For Scheduled Admission Date Change must be greater than or equal to the previous Set SAD event date and less than or equal to the subsequent Set SAD Event date. The Scheduled Admission Date Identifier for each 'Reason For Scheduled Admission Date Change' event must equal the Scheduled Admission Date Identifier for the 'Set SAD' event immediately prior. Refer: <ul style="list-style-type: none">o Section 3 – Data Definitions: Scheduled Admission Date, Event Type, Event Value, Scheduled Admission Date Identifier.

S418 Reason For SAD Change Reported, But No Related SAD

Effect Correction

Problem A 'Reason SAD Changed' event has been reported, but the corresponding Scheduled Admission Date has never been set,

OR

The related SAD has already been cancelled (a 'Reason SAD Changed' event related to the setting of a Scheduled Admission Date, has already been submitted).

This could mean that a 'Set SAD' event has not been successfully reported, or that too many 'Reason SAD Changed' events have been reported.

'Set SAD' and 'Reason SAD Changed' events must be paired together (related) by the Scheduled Admission Date Identifier. All 'Reason SAD Changed' events require a 'Set SAD' event with the same Scheduled Admission Date Identifier.

Remedy Determine whether a 'Set SAD' event is missing, or too many 'Reason SAD Changed' events have been reported. If an event has not been reported, report it. If an event has been reported in error, submit a delete trigger for it.

The Scheduled Admission Date Identifier for each 'Reason For Scheduled Admission Date Change' event must equal the Scheduled Admission Date Identifier for the 'Set SAD' event immediately prior.

Refer:

- o Section 3 – Data Definitions: Scheduled Admission Date, Event Type, Event Value, Scheduled Admission Date Identifier.

S422 Clinical Registration Date After Administrative Registration Date

Effect Correction

Problem The Clinical Registration Date for this episode-level record is after the Administrative Registration Date.

Remedy It is assumed that the Administrative Registration Date is a datestamp representing the date of data entry and is therefore accurate and cannot be changed. The Clinical Registration Date must have occurred on or before this date.

Report this error to your software supplier.

Refer:

- Section 3 - Data Definitions: Clinical Registration date and Administrative Registration Date.

S423 Administrative Registration Date Has Changed

Effect Notifiable

Problem The Administrative Registration Date is different to the previously reported Administrative Registration Date.

Remedy The Administrative Registration Date is assumed to be a system generated date, representing the date a waiting list episode is first entered onto the system. Therefore it is unable to be changed. Please report the Administrative Registration Date previously reported or contact HDSS.

The only time this will be valid, is where you are correcting the Admin Reg Date back to what was originally reported.

Report this error to your software supplier.

Refer:

- Section 3 - Data Definitions: Administrative Registration Date and Clinical Registration Date.

S424 Administrative Registration Date Invalid

Effect Correction

Problem The Administrative Registration Date is blank or in an invalid format.

The Administrative Registration Date is assumed to be a system generated date, representing the date a waiting list episode is first entered onto the system. Therefore it is unable to be altered by the end user.

This entire submission has not been processed.

Remedy Resubmit the file with the correct Administrative Registration Date.

Report this error to your software supplier.

Refer:

- o Section 3-Data Definitions: Administrative Registration Date.

S425 Indigenous Status Invalid

Effect Correction

Problem An Indigenous Status code has not been reported or the code specified does not exist in the Indigenous Status code set.

Remedy Correct or allocate the Indigenous Status and resubmit.

Refer:

- o Section 3 Data Definitions: Indigenous Status.

S426 Invalid SAD Identifier

Effect Rejection

Problem The format of the SAD Identifier is invalid.

Remedy Correct the SAD Identifier and resubmit.

Valid:

- Alpha numeric characters only.
- Length equal to exactly 10 characters.
- Leading zero filled.

Report this error to your software supplier.

Refer:

- Section 3 Data Definitions: Scheduled Admission Date Identifier.

S427 SAD Identifier Previously Reported For this episode

Effect Rejection

Problem The latest SAD Identifier has previously been reported for this episode for this event type. A SAD Identifier can only be used for one Set SAD event and its corresponding Reason SAD Changed event.

Remedy Report a SAD Identifier that has not previously been used for this event type in this episode.

Refer:

- Section 3 Data Definitions: Scheduled Admission Date Identifier.
- Section 4 Business Rules: Booking

S429 SAD Identifier/Event Type Mismatch

Effect Rejection

Problem The Event Type is either "Urgency" or "Readiness" or "MAPT" and the SAD Identifier is not blank,

OR

The Event Type is either "Set SAD" or "Reason SAD Changed" but the SAD Identifier is blank.

Remedy If the Event Type is "Urgency" or "Readiness" or "MAPT", ensure that the SAD Identifier is blank. If the Event Type is either "Set SAD" or "Reason SAD Changed" ensure the SAD Identifier is a not blank and valid.

Contact your software vendor.

Refer:

- o Section 3 Data Definitions: Scheduled Admission Date Identifier, Event Type.
- o Section 4 Business Rules: Booking

S430 New Episode Record, Removal Date in Earlier Fin Year

Effect Rejection

Problem An episode record has been submitted that:

- Does not currently exist in the ESIS editing database, AND
- Has a Removal Date in a previous financial year, AND
- Final consolidation for that year has passed.

Remedy Each year, around mid-September, all the episodes with Removal Dates falling in any previous financial years (and their intra episode events) are cleared out of the ESIS Editing Database. It may transpire that some systems will attempt to update one of these deleted records. Systems may also attempt to send an old episode (one removed in a previous financial year) for the first time. In either case, because final consolidation for that financial year has passed, the episode and any related intra episode records will not be inserted into the editing database.

If the episode was meant to have either:

- No Removal Date, OR
- A Removal Date in the current financial year,

then correct the episode record and resend it and all related intra-episode events.

If the Removal Date is correct then no further action is necessary, as the final consolidation for year of removal has passed.

S431 Intra Episode Event Value For MAPT Invalid

Effect Correction

Problem An event type of "MAPT" has been reported and its event value (the MAPT score) reported is:

- o Not of the format NNN.NNN, OR
- o Not between 0 and 100 OR
- o Null (blank).

Remedy Correct and resubmit. Note:

Contact your software vendor if your system is:

- o Incorrectly formatting the MAPT score for extraction purposes OR
- o Allowing you to report values less than zero or greater than 100 OR
- o Allowing you to report a Null (blank) MAPT score

S432 Invalid Date of Birth Accuracy Code

Effect Correction

Problem This record's Date of Birth Accuracy Code is null or invalid.

Remedy Check Date of Birth Accuracy for valid format and values. Correct and resubmit.