

Proposals for revisions to the Elective Surgery Information System (ESIS) for 1 July 2006

December 2005

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Executive Summary

Each year the Department of Human Services (DHS) reviews the data elements and format of the Elective Surgery Information System (ESIS). This review seeks to ensure that the collection supports the Department's national reporting obligations, and remains relevant to DHS planning and policy development.

This document has been produced to invite comment and stimulate discussion on the proposal outlined below. If you would like to comment on the proposal, please see the introduction section in the ESIS proposals document on how to do so.

In order to be accepted into ESIS, proposals need to demonstrate clear business justification and be fully costed, meaning funding streams will need to be identified and confirmed. Final acceptance of all proposals is dependent on the Executive Director, Metropolitan Health and Aged Care Services (based upon recommendations by the Data Management Advisory Committee (DMAC)).

For further information on the revisions process and time table contact the HDSS Help Desk on 9616 8141.

For 2006-07 a single revision is proposed for ESIS. It is to add an additional value to the Reason For Removal code set. This change is required to differentiate those patients who receive the awaited procedure but not in the planned admission and not in an emergency admission.

Introduction

The ESIS proposals consultation process

Each year the Department of Human Services (DHS) reviews the data elements and format of the Elective Surgery Information System (ESIS). This review seeks to ensure that the data collection supports the Department's national reporting obligations, and remains relevant to DHS planning and policy development.

This document is being distributed to all Victorian hospitals, to patient management system suppliers known to have Victorian clients, and to a range of industry bodies. It outlines a *proposal* for change to ESIS, as at the time of its release in December 2005. This should not be regarded as a complete list of changes to be made for 2006—07. Items in this publication cannot be guaranteed to change or to change in the form suggested here; nor does the absence of an item from this publication indicate it will not change from 1 July 2006. Confirmed changes will be published in the document *Specification for Revisions to Elective Surgery Information System (ESIS) for 1 July 2006*, which will be published in February 2006.

Prompt feedback is sought on this proposal. Hospitals and software suppliers should review this document and assess the feasibility of the proposal. All are invited to provide written feedback to the Department by completing the proforma provided as an Appendix to this document, and forwarding it to the Department as indicated, **by 9 February 2006**. Copies of the proforma may also be obtained from the HDSS web site located at <http://www.health.vic.gov.au/hdss>.

Proposed revision

Proposal 1 – Addition of value to Reason For Removal code set

It is proposed to Add an additional value to the Reason For Removal code set

Proposed by Health Data Standards and Systems Unit
Department of Human Services

Implementation Date 1 July 2006

Background In the ordinary course of business patients will be admitted and receive the awaited procedure at a given campus either as planned or as an emergency. There are, however, a small but significant group of patients who receive the awaited procedure during an admission that was neither the planned admission nor an emergency admission. The current Reason For Removal code set does not well accommodate this group of patients, causing organisations difficulty in accurately reporting these episodes.

For example, a patient is registered on the waiting list on 10 August 2005 awaiting cholecystectomy. This admission is planned to take place on 10 September 2005. On 14 August 2005 the patient is admitted into HITH for an unrelated reason. On 20 August clinician decides to perform the cholecystectomy on 24 August 2005 as a part of the existing admission. Therefore the patient:

- has not been admitted as an emergency; and
- was not admitted as planned for this procedure.

But will nevertheless be receiving the procedure.

By adding an additional value to the Reason For Removal code set this small but distinct group of episodes' removal reason will be accurately reported to ESIS and can be excluded from edits comparing the Scheduled Admission Date to the actual Date Of Admission. This should simplify reporting for this group of patients.

Reason For Removal (*amended*)

Specification

Definition	The reason a waiting episode is removed from the waiting list.		
Label	Reason_For_Removal		
Field size	N/A	Valid values	Code for Reason For Removal code set
Reported in	Episode Table		
Reported for	All waiting list episodes removed from the waiting list.		

Code set

Admitted to this campus

Code	Descriptor
-------------	-------------------

W	Admitted to the intended campus and has received the awaited procedure
---	--

M	Admitted to the intended campus or (if reporting at health service level) any campus with the health service and has received the awaited procedure as an emergency admission
---	---

Y	Admitted to the intended campus and received the intended procedure in a non-emergency admission other than the one planned
---	---

Treated elsewhere

Code	Descriptor
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B	Received the awaited procedure at another public campus, not arranged by this campus/health service
---	---

I	Received the awaited procedure at a private campus, not arranged by this campus/health service
---	--

U	Received the awaited procedure at another campus unknown whether public or private, not arranged by this campus/health service
---	--

S	Admitted to another campus arranged by ESAS and has received the awaited procedure
---	--

X	Admitted to another campus arranged by this campus/health service and has received the awaited procedure under other contract or similar arrangement
---	--

Transfer of ESIS episode

Code	Descriptor
-------------	-------------------

N	Transfer of waiting episode to a non-ESIS (public) campus
T	Transfer of waiting episode to another ESIS campus/health service

Cancellation

Code	Descriptor
R	Died
Z	Not contactable
Q	Surgery declined or not required
F	Failure of the patient to arrive for treatment
O	Other reason for cancellation

Reporting guide Report the appropriate reason to explain why the patient’s waiting episode has been removed from the waiting list.

Note: If reporting at the health service level, the term campus/health service means *health service*. If reporting at the campus level, the term campus/health service means *campus*.

W ***Admitted to the intended campus and has received the awaited procedure***

Patient was admitted to the intended campus and received the awaited procedure as a planned (rather than an emergency) admission.

Where ESIS data are reported at health service level, and the patient is admitted to any ESIS reporting campus within the health service, this code indicates the actual treatment campus.

M ***Admitted to the intended campus or (if reporting at health service level) any campus with the health service and has received the awaited procedure as an emergency admission***

Patient was admitted and has received the awaited procedure through the Emergency Department at this campus (or another campus of this health service) rather than as an elective admission.

Where ESIS data are reported at health service level, and the patient is admitted through the Emergency Department of any ESIS reporting campus within the health service, this code indicates the actual treatment campus.

Excludes: A patient admitted to another campus outside this health service for the awaited procedure as an emergency patient: report as Reason for Removal code B, I or U *Treated elsewhere for awaited procedure, not arranged by this campus/health service*.

Y	<i>Admitted to the intended campus and received the intended procedure in a non-emergency admission other than the one planned</i>
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Patient was already registered on the waiting list for the procedure before this (non-emergency) admission occurred. The intent of this admission was for a reason other than the performance of this waiting list procedure. It is during this admission that the clinician makes the decision to perform the awaited procedure. Therefore the date of admission must be after the Clinical Registration Date.

Excludes:

- Patients receiving the awaited procedure as an emergency admission.
- Where a patient is already admitted before the need for a procedure is determined. For example admitted to HITH on 10 September 2005 and requirement for procedure identified 27 September 2005 (during same episode). These episodes are outside the scope of ESIS as the date of admission is before the Clinical Registration Date.

B, I, U *Treated elsewhere for the awaited procedure not arranged by this campus/health service*

Patient whose awaited procedure has been performed at another campus.

Includes:

- a) Patient has initiated treatment at another campus (including a private hospital)
- b) Patient admitted through the Emergency Department of another campus for the awaited procedure. If reporting at the health service level, it must be a campus outside this health service.

Determine, wherever possible, whether the patient was treated at a private or public campus. If this cannot be determined, report Reason For Removal code U Received the awaited procedure at another campus unknown whether public or private, not arranged by this campus/health service.

If a patient was treated in a private hospital where a contract has not been negotiated between this campus/health service and the private hospital, remove the patient from the waiting list with Reason For Removal code I Received the awaited procedure at a private campus, not arranged by this campus/health service.

Note: For codes B, U, or I do not report a Destination code.

S *Admitted to another campus arranged by ESAS and has received the awaited procedure*

The Elective Surgery Access Service has arranged the patient's treatment at another campus.

The responsibility of the patient's waiting episode remains with the target campus/health service, that is, the campus/health service that originally placed the patient on their waiting list.

Report a Destination code to indicate the campus where the patient was admitted and received the awaited procedure arranged by ESAS.

X *Admitted to another campus arranged by this campus/health service and has received the awaited procedure under other contract or similar arrangement*

This campus/health service arranged for the patient to be treated at another campus under contract or similar arrangement but the responsibility for the patient's waiting episode remains with the ESIS campus/health service reporting this episode.

This patient should remain on the waiting list until admitted.

Report a Destination code to indicate the campus where the patient was admitted and received the awaited procedure under contract or similar arrangement.

Excludes:

- Where the patient initiates treatment at another hospital, report Reason for Removal codes B, I or U *Treated elsewhere for the awaited procedure, not arranged by this campus/health service*
- Where the patient is admitted and receives the awaited procedure under the Hub and Spoke arrangement, the Hub reports Reason For Removal code W *Admitted to the intended campus and has received the awaited procedure as a planned admission*. Refer to the VAED Manual 14th Edition July 2004 for definition of Hub and Spoke available from:
<http://www.health.vic.gov.au/hdss/vaed/index.htm>

N *Transfer of waiting episode to a non ESIS (public) campus*

The reporting responsibility for the patient's waiting episode has been transferred from this ESIS reporting organisation to a non-ESIS reporting (public) campus. The patient's surgery will be performed at the receiving campus.

Report a Destination code to indicate the campus to which responsibility has been transferred.

Excludes: Where the patient initiates treatment at another campus, report Reason for Removal code B, I or U *Treated elsewhere for the awaited procedure, not arranged by this campus/health service*.

T *Transfer of waiting episode to another ESIS campus/health service*

The reporting responsibility for the patient's waiting episode has been transferred from this ESIS reporting organisation to another ESIS reporting organisation. Usually this occurs when it is possible for the patient to be treated in a more timely manner at the receiving campus/health service.

When transferring the reporting responsibility for a waiting episode, it is important that the Episode Identifier, the Removal Date, and the originating campus/health service's code are provided to the receiving campus/health service.

Excludes: Where the patient initiates treatment at another campus, report Reason for Removal code B, I or U *Treated elsewhere for the awaited procedure, not arranged by this campus/health service*.

Q *Surgery declined or not required*

Includes:

- Episodes for patients who refuse treatment at their own initiative and no longer wish to receive treatment at the hospital
- Episodes for patients whose clinical condition has either improved or worsened to the extent that they are no longer suitable candidates for the awaited surgery
- Episodes for patients on the waiting list for a reportable procedure but after study require alternative treatment that is a Procedure Not Reported To ESIS
- Episodes recorded in error for a reportable procedure but the correct procedure is a Procedure Not Reported To ESIS
- Episodes removed from the waiting list by a surgeon for non-clinical reasons. This includes instances where the patient's surgeon considers the patient's deferral of this episode to be unreasonable for example, the patient wishes to defer this episode indefinitely, or repeatedly defers this episode for long periods.

Note: Reporting organisations are expected to exercise discretion to distinguish between patients who are reasonably negotiating an admission date to suit their particular circumstances (taking the patient's Clinical Urgency into consideration) and those who declare themselves unavailable for treatment for a prolonged period.

Guidelines for the Removal of Patients from the Waiting List are available from:

<http://electivesurgery.health.vic.gov.au/>

F *Failure of the patient to arrive for treatment*

Patient who is booked for admission, and fails to arrive at the hospital on that day without giving prior notice, may be removed from the waiting list.

Reporting organisations should exercise their discretion on a case-by-case basis to avoid disadvantaging patients in the case of genuine hardship, misunderstanding and other unavoidable circumstances. The alternative is for the reporting organisation to rebook the patient (see Reason For Scheduled Admission Date Change) leaving Reason for Removal blank.

O *Other reason for removal*

Circumstances for removal that do not fit into any other Reason for Removal category.

Edits

- S287 Scheduled Admission Date Exceeded
- S295 Removal Date Not Equal To Scheduled Admission Date
- S296 Reason For Removal Implies Procedure Performed, But Not Ready For Care
- S298 Reason For Removal Invalid
- S303 Insurance Declaration Invalid
- S310 Destination/Reason For Removal Combination Invalid
- S375 Clinical Urgency Category For ESAS Reason For Removal Invalid

- S395 Removal Date/Reason For Removal Mismatch
- S397 Unmatched Transfer As Reported By Receiving Organisation
- S398 Unmatched Transfer As Reported By Originating Organisation
- S399 Date Of Admission For Awaited Procedure But No Removal Date
- S400 Date Of Admission For Awaited Procedure Invalid
- S401 Date Of Admission/Reason For Removal Mismatch

Appendix: Feedback Proforma

Feedback: Proposals for Revisions - VAED, VEMD or ESIS, 1 July 2006

To:	HDSS Help Desk, Department of Human Services		
Send to:	Email: PRS2.Help-Desk@dhs.vic.gov.au Fax: (03) 9616 7743	Date sent:	
Sender name:			
Telephone number:			
Email address:			
Organisation name:			
My comment/question relates to (please indicate [X]): <input type="checkbox"/> VAED <input type="checkbox"/> VEMD <input type="checkbox"/> ESIS <i>Please use one email/form per item. Thank you for your input.</i>			
Proposal Reference (number & title)			

Comments/Questions: